# Terms & Conditions of Special Forward e-auction Scheme, 2016 for Power Producers (excluding CPPs) (For sale of coal for the year 2015-16)

# **Objective:**

The **Special Forward E-Auction** scheme is aimed to make an earmarked quantity of coal available for supply through e-auction to all Power Producers.

# 1. Eligibility & Price:

1.1. All power producers already commissioned and who are in stress in respect of coal receipt or being commissioned in 2015-16, are eligible to apply for the Special e-auction window for own consumption only and not for transfer or re-sale of coal provided the power plants have long term/ medium term PPA with DISCOMs./ entities having back to back PPA with DISCOMs.

#### 1.2. **Price of Coal: The Reserve Price of coal** shall be as under

For Power Producers (IPP/ any State/ Central Genco):

The Reserve price = (Notified Basic Price of Power Sector+ 20% of Notified Basic Price of Power Sector). The notified basic price of power sector shall be as per the Price notification of SCCL displayed in SCCL Website for respective grades of coal or at Specific dispatch point/mines. The notification can be downloaded from the website:

<a href="http://www.scclmines.com/scclnew/our-business\_coal-prices.asp">http://www.scclmines.com/scclnew/our-business\_coal-prices.asp</a>.

The Reserve price is applicable for Power Plants having long/medium term PPA with DISCOMs/ entities having back to back PPA with DISCOMS.

# Registration:

To participate in Special Forward e-Auction, Power Producers shall be required to register himself / itself with respective Service Provider nominated/appointed by SCCL. For such registration, a consumer shall be

required to complete all necessary formalities by making an application to the nominated/appointed Service providers of SCCL in the prescribed format available on the web site of the respective service provider along with certified copies of valid documents, including

- 1.3.1. A declaration on stamp paper of minimum Rs 10(ten) value before a 1st Class/Executive Magistrate certifying coal purchased will be for own consumption and generation of power only and would not be resold, transferred or exported. The power Producers shall also furnish the status of commissioning under the affidavit with other particulars like Name of Plant, No of Units, capacity as per format annexed as Schedule-I. Bidders shall also declare under the same Affidavit that If at any later stage, it is found that the documents produced were false, misleading or any misinterpretation was made, the defaulting bidder shall be debarred from participating in auction of coal by SCCL for next 1 year.
- 1.3.2. Certificate of incorporation issued by the registrar of companies (ROC),
- 1.3.3. Latest Income-tax return
- 1.3.4. PAN No,
- 1.3.5. Valid Sales Tax/VAT registration certificate,
- 1.3.6. A board resolution authorizing one representative of the power plants who will be authorized to sign on behalf of the company with attested passport size photographs of the authorized Officials.
- 1.3.7. Valid NOC from State/Central Pollution Control Board,
  - i. Wherever the State Pollution Control Board is not specifying the validity of the certificate issued by them to the unit or in other words have issued the open ended certificate such a certificate will be considered acceptable and intimation will be sent to the authority responsible for issuance of Pollution Certificate in the State. And

For the purpose of registration bidders who are yet to receive Pollution Certificate in spite of having applied for the same may furnish evidence by producing certified copy of payment receipts / acknowledgements etc. for such applications made to the pollution control authority. However,

before participating into the bidding they will have to produce either the valid Pollution Certificate or a "No Objection" for consuming coal issued by the Pollution Control Board/ authority to which application for pollution certificate had been made.

- ii. The Bidder in case of non-availability of either of the above may become eligible to Bid by furnishing an Affidavit that they will furnish the Consent to Operate on or before ten days from the date of e-auction. Supply of coal shall only take place after submission of CTO/NOC as above in case of failure, coal allocation secured, if any, would stand cancelled and the corresponding EMD submitted shall stand forfeited.
- 1.4. The registration can be done on-line or at any front office of the service provider.
  - 1.5. The bidder will be responsible for ensuring to keep the registration valid, and for the purpose, the consumer / bidder will keep all the documents mentioned in above clauses valid throughout the period right from bidding up to completion of lifting. In the event of expiry of any of these documents, the registration will automatically become dormant and during the period of dormancy, the consumer/ bidder will not be allowed to participate in bidding by the service providers and release of coal will be kept suspended by the Coal Company.
  - 1.6. Such suspension of release of coal will be treated as a failure on the part of consumer / bidder and the proportionate EMD equivalent to the failed quantity shall be forfeited. The registration will however be restored by the service provider and thereafter participation in the bidding will be allowed after submission of the valid document(s) / extension of the validity of the document(s) and coal releases / lifting will be allowed by the SCCL. The service provider will also remain vigilant and monitor the validity of all the documents and disallow the bidder to participate in bidding in case any of his documents becomes invalid.
  - 1.7. After registration, all prospective bidders will have an auto-generated Unique User ID and a Password based on which they can log in on the

Service Provider's web site. Details of registration process with Service Providers will be available in their respective web site.

- 1.8. The prospective bidder must get themselves registered with an approved certifying agency and obtain digital signature for their authentication / proving genuineness which would enable them to participate in e-Auction. The consumers / prospective bidders are required to contact their respective service provider in this regard for clarification and guidance, if required by them.
- 1.9. Only one registration will be done against one PAN number. However, for more than one coal consuming unit, having independent valid sales tax / VAT registration, more than one registration against one PAN Number can be considered. In such cases, the details of valid sales tax / VAT registration against each consuming unit will be indicated in each 'Photo Identity Card'. In the event of one PAN number and one sales tax VAT registration number for a bidder having multiple units in one state, more than one registration will be allowed based on independent valid excise registration number or factory license for such units and the same will be indicated in each "Photo Identity Card".
- 1.10. The registration done earlier by the applicants for "Special E-auction 2015 for power producers" will be valid for "Special Forward e-Auction" with the respective Service Provider and the documents submitted earlier should be valid.
- 1.11. The Successful bidder shall also register for customer code generation of SCCL along with the required documents as per the Annexure-I.

#### 2. Photo ID Cards:

2.1. The Service Provider shall issue "Photo Identity Card" to their registered bidders duly authenticating the identity & signature, indicating a "Unique Registration Number" allotted to them. The "Unique registration number" of the registered bidders shall be communicated to the SCCL by the Service Provider. The details of the Sales tax / VAT registration number as well as consumer status

of the applicant unit shall also be duly incorporated in each Photo Identity Card. Based on the above Unique Registration Number details and as per the details of the customer based on the Control Ledger Proforma enclosed as per 1.11 SCCL customer code will be generated and linked to the above "Unique Registration Number.

# 2.2. Earnest Money Deposit (EMD):

2.3. All interested registered consumers shall be required to deposit in advance non-interest bearing EMD with respective service provider for such an amount as would cover 10% of the reserve price (of e-Auction concerned) for the intended bid quantity in terms of notified basic price of coal exclusive of taxes, duties and other charges as applicable before participating in Special forward e-Auction, The EMD may be rounded off to the nearest decimal on higher side. This may be paid upfront by way of Bank Draft / Bankers P.O or by way of e-transfer.

# 3. Methodology:

- 3.1. SCCL would draw programme for Special e-Auction which will be notified minimum 7 days in advance from the date of commencement of special e-auction on the SCCL Notice Boards as well as their Websites. The programme shall be intimated to the respective Service Provider for hoisting the same at their web site also for information of all interested consumers.
- 3.2. The Service Provider shall conduct the Special e-Auction as per the programme notified by SCCL and in the web site of the SCCL and Service Providers on the scheduled date/s and time.
- 3.3. The date, time and period of e-Auction as notified in advance including closing time on portal of Service Provider shall be adhered to except in force majeure situations. However, the closing time of e-Auction will be automatically extended up to last Bid time, plus 5 minutes, so that opportunity is given to other Bidders for making an improved Bid on that item.
- 3.4. Before on-line bidding for price and quantity in the Special e-Auction, the bidder shall agree on-line to accept the condition that the coal purchased in the Special e-Auction shall be used for own consumption only and not

for any other purposes. The bidder shall also agree on-line to all the other terms & conditions displayed along with the notice of Special e-Auction.

- 3.5. Before participating in e-Auction, bidders must satisfy themselves with the grade/quality of coal being offered from a source.
- 3.6. Joint Sampling Protocol facility as is being currently in vogue for the FSA consumers with the Power Producers(IPP/SEB) shall be available to successful bidders under the special e auction, if requested in writing to the supplying coal company. Debit/Credit notes shall be issued in case of grade slippage/improvement
- 3.7. Prospective Bidders are entitled to bid for the quantity to the extent of amount of EMD coverage which is available with the Service Provider in the bidder's account at the time of bidding.
- 3.8. The bidder has to bid for a price equal to or above the reserve price so as to secure consideration in the concerned Special e-auction. The bidder shall offer his Bid price (per tonne) in the increments of Rs.10/- (Rupees ten) or multiple thereof during the normal e-Auction period. During the extended period, the bidder shall offer his Bid price in the increment of Rs.20/- (Rupees twenty) or multiple thereof.
- 3.9. Following criteria would be adopted in deciding the successful bidders:-
  - 3.9.1. Precedence will be accorded to the highest bid price in the descending order (H1, H2, H3 and so on) as long as the offered quantity is available for allocation.
  - 3.9.2. If two or more buyers bid the same highest price, precedence for allotment will be accorded to the buyer who has placed the bid for the higher quantity.
  - 3.9.3. In case two or more buyers bid the same price and the same quantity, precedence will be given to the buyer who has accorded his bid first with reference to time.
  - 3.10. The clauses for forfeiture of EMD shall be as specified above at 7.1 and elsewhere in the conditions.
    - While maintaining the secrecy of Bidder's identity, the web site shall register and display on screen the lowest successful Bid price at that point of time. The system will not allow a Bidder to Bid in excess of his entitled

quantity as per his EMD. However once a Bidder is out-bided by another (in part or full) the particular Bidder shall become eligible for making an improved Bid.

- 3.11. Plants being commissioned: The Plants yet to achieve commissioning, may participate in the bidding however, supply of coal to such plants shall be subject to furnishing the certificate of commissioning issued by CEA. Commissioning certificate submitted after the last date for accepting payment for supply in March 2016 shall not be considered.
- 3.12. The period available till March 2016 after the date of commissioning shall be considered for supply of the quantity and divided MSQ in consultation with the successful bidders. In case, the Bidder fails to submit Commissioning certificate before the last date for acceptance of the coal value for supply during March 2016, the EMD furnished shall be forfeited/encashed and no coal shall be supplied.

### 4 . Conditions for dispatches by Rail and Road.

- 4.1. There will be separate Special e-Auctions for dispatches 'by rail and road mode (though conducted under same notice) even if e-Auction offer by rail and road mode is from the same source earmarking separate mode-wise quantities under the same Special e-Auction for that source. For the sources where rail cum road mode are offered, The minimum quantity for bidding shall be 4000 tonne (Four thousand tonne only) and in multiple thereafter for a source for supply
- 4.2. For the sources where coal supply will be by rail only, the minimum quantity for bidding shall be one rake and in multiple thereof for the period for a source. The rake size shall be as per prevalent Railway rules. The quantity of coal in a rake shall be as indicated in the notice of Special e-Auction.
- 4.3. The Buyer should satisfy itself / himself about the Rake fit stations / destinations from the Railways before participation in e-Auction by rail. No acceptance of the programme, even after the option exercised under extant Railway rules, on account of rake-fit stations / destinations being not

accepted by the Railways shall be treated as a failure of the Buyer leading to forfeiture of relatable EMD.

4.4. The consumer, while bidding shall quote their "Bid price" per tons in Indian Rupee as base coal price on FOR/FOB colliery basis, Special of other charges like statutory levies, surface transportation charges, sizing/beneficiation charges, taxes, cess, royalty, SED, & any other charges as will be applicable at the time of delivery. These charges as well as freight etc. shall be on the Buyers' account. Any increase in statutory charges / other charges will be notified / hoisted on web-site by the coal companies and shall be paid/ refunded as the case may be.

#### 5 **Post E-Auction Process**:

- 51. Each successful bidder will be intimated through e-mail / SMS by the Service Provider on the same date after the closure of e-Auction. However, it will be the responsibility of the bidder to personally see and download the result displayed on website, on the same date after close of e-Auction.
- 5.2. Equivalent amount of EMD of the successful consumer / bidder corresponding to successful bid quantity, shall be blocked and will be transferred to SCCL by the service provider along with the bid sheet in respect of successful consumer / bidders.
- 5.3. The successful bid quantity of a bidder for the period (operative months) would be divided in equal parts for determining Monthly Scheduled Quantities (MSQ) for coal supplies during the period. However, in case of supply by rail, such equal monthly parts shall be in the multiple of rake load. The total successful bid quantity in rake loads for the period for a bidder shall be distributed equally over the operative months of the period. In the event the total quantity is not exactly divisible by no. of operative months, the fractional quantity of either one or two or three or four rakes above the multiple of no. of operative months will be programmed in the 1st or 2nd or 3rd or 4th month of the period as the case may be.
  - 5.4. In the event the successful bid quantity is only one rake or two rakes for the three months period for a bidder which is less than the number of periods of e-

- auction, the choice of the programme for a month shall be with the bidder for programming one rake in each month.
- 5.5. However, based upon the availability of coal at different sources and time span the monthly programme/lifting plan, if required, may be recast by SCCL with the consent of consumer in advance.

### 6 Conversion of EMD into a Security Deposit:

- 6.1. The coal value to be deposited in advance by the successful bidders shall be computed and deposited on month to month basis against the monthly scheduled quantity after making provision for the EMD amount corresponding to the monthly scheduled quantity out of the total EMD amount already transferred by the Service provider on account of the successful bidder to SCCL. In other words, the coal value to be deposited and the corresponding EMD amount for the monthly scheduled quantity taken together shall be equivalent to 100% coal value of the monthly scheduled quantity.
- 6.2. EMD amount corresponding to the monthly scheduled quantity shall not be treated as an adjustment towards the coal value in the beginning of the month but shall remain as 'Security Deposit' for performance of the bidders towards completion of the said transaction for the concerned month i.e coal value equivalent to the SD shall be adjusted in the last phase of lifting during the month.
- 6.3. The above security deposit (as converted from the EMD amount) would be adjusted as coal value, only after completion of lifting of coal covered under coal value paid (for the concerned month), excluding security deposit. However, in the event of default in performance by the bidder, the provision of forfeiture of the 'Security Deposit' (as converted from the EMD) as stipulated, would be applicable.

# 7. Financial Coverage and Terms of Payment:

#### **General Terms of Payment:**

7.1. In case of successful bidder if the coal value is deposited for less than the monthly scheduled quantity but not below 50% of the monthly scheduled quantity, SCCL shall accept the payment for the said amount and forfeit the EMD for the failed balance quantity. However, if the buyer fails to deposit the coal value for at least 50% of the monthly scheduled quantity then such deposit shall not be accepted and the entire EMD of the monthly scheduled quantity shall be forfeited

- 7.2. Such payments shall be made through demand drafts / bankers cheque drawn in favor "The Singareni Collieries Company Limited" payable at Hyderabad. along with the debit advice issued by the bank certifying that the Demand Draft /Banker's cheque has been issued by debiting the account of the concerned consumer/ bidder.
- **7.3.** The payment can also be made by RTGS transfer to the SCCL Bank A/c details are given under

DETAILS FOR MAKING PAYMENT THROUGH RTGS

(FOR SUCCESSFUL BIDDERS):

BANKERS NAME: STATE BANK OF HYDERSBAD

BRANCH: INDUSTRIAL FINANCE BRANCH

LOCATION: PANJAGUTTA RTGS CODE: SBHY0020703

A/C NO.: 52095896543

BENEFICIARY: The Singareni Collieries Company Ltd..

- 7.4. The successful buyer shall pay any additional sum which may become payable by the seller under any statutory provision over and above the amount claimed in the invoice. The successful bidder will also be entitled to a refund of the sum of taxes to the seller on account of reduction, if any of the statutory levies claimed in the invoice by the seller.
  - 7.5. Statutory levies payable over and above the coal price shall as applicable on the date of delivery. The supply/booking schedule for the first month may have variation and may be notified by the subsidiary companies separately depending upon time available to expedite the dispatch.
  - 7.6. In addition to coal value as above, successful bidders shall also be required to pay the e-auction charges and applicable taxes thereon from time to time. (Presently the e-auction charges are 1.0 % of the Bid Price).

#### Road Mode:

7.7. The successful bidder after Special forward e-Auction will be required to deposit coal value for supply in a month with the concerned coal company within 7 working days of SCCL after completion of e auction date .Such payments shall be made as per the above clauses. For the subsequent payment of MSQs, it shall be made within 7 working days of SCCL of the corresponding months.

The clauses for forfeiture of EMD shall be as specified above at 7.1 and elsewhere in the conditions.

#### Rail Mode:

- 7.8. In case of supply by rail, 50% of the monthly scheduled quantity shall be reckoned to be in the units of full rake size with the fractional part, if any, rounded off to a full rake.
- 7.9. In case of supply by rail, the bidder shall submit the plan of the movement of the rakes within 2working days of SCCL from the date of e-auction, otherwise SCCL within 3 days of receipt of e auction data shall submit a monthly programme to railways with the information to the successful bidder. The bidder shall deposit coal value to SCCL in advance within at least 3 working days before the expected date of movement of rake as per the above programme submitted. The bidder shall also give the particulars of Railway freight payment in the office of SCCL, based on which the rake movement shall be confirmed and SCCL shall endeavor to move the rake within 7 working days of the above dates.
- 7.10. The clauses for forfeiture of EMD shall be as specified above at 7.1 and elsewhere in the conditions.

# 8. Make Up Rights:

Make up rights will be applicable in monthly supplies by road. In case of supply by road, the Coal Company and/or the Buyer shall have the right to vary the, monthly scheduled quantity of the successful bid quantity for the period, subject to a prior notice of 21 days from commencement of the month concerned, However, the successful bid quantity of the period shall remain unaltered.

#### 8.1. Delivery of coal:-

#### By Road:

- 8.2. Sale orders shall be issued within seven days by the coal company after encashment of Buyer's financial instrument. The validity period of lifting of coal by road shall be two months from the date of issue of sale order
- 8.3. The Delivery or Lifting Challan issued by the Coal Company shall indicate destination of the coal consuming unit. On the Sale Orders / Rail

Programme, the details of the documents along with their validity will be indicated to facilitate Coal Companies to take necessary action

By Rail:

- 8.4. The inter se seniority of buyers in case of rail-borne monthly programmes shall be determined for supply of coal during the period in terms of seniority of the successful bidders as enumerated by the Service provider in the bid sheet based on the buyers' bids in the period.
- 8.5. The quantity allotted against each rake is only indicative; however delivery shall be made on the basis of actual weighment by the seller at the loading point.
- 8.6. The validity period of a monthly programme for movement by rail for seeking allotment of rake shall be till the last day of the month concerned and consent of the programme shall be issued by the concerned coal company accordingly. However for the first month after commencement of auction , the validity period of the monthly programme for movement by rail for seeking allotment of rake shall be thirty days from the auction date. Once the rake is allotted, it shall remain valid for supply of coal as per prevailing Railway Rules.
- 8.7. Although loading will be the responsibility of the Coal Company, but to avoid any complaint regarding over-loading, under loading and for quality, the Buyer himself or his authorized representative may supervise loading at the loading point. The authorized representative must carry valid authority letter along with photocopy of Identity Card issued by the Service Provider to the consumer.
- 8.8. The overloading and under loading charges, if any, at the rates applicable as per Railway rules, will be borne by the respective Buyer / bidder or the Coal Company as the case may be as follows:
  - Any penal freight for overloading charged by the Railways for any consignment shall be payable by the Purchaser.
     However, if overloading is detected from any particular colliery, consistently during three (3) continuous months, on due intimation

from the Purchaser to this effect, the Seller undertakes to take remedial measures.

# 9. Weighment:

Both in case of road and rail supply, the weighment at the loading point shall be final and binding for all commercial purposes.

#### 10. Refund of EMD for unsuccessful Bidders:

In case of unsuccessful bidders, EMD shall be refunded/returned by the Service Provider after the auction is over, on the bidder's request.

# 11. Forfeiture of EMD/Security Deposit:

The EMD/Security Deposit submitted by the successful bidder shall be liable for forfeiture in the following cases: -

- 11.1. If after completion of e-Auction, a successful bidder fails to make payment for the monthly scheduled quantity of the coal value including all other charges as applicable within the stipulated time, the proportionate EMD equivalent to the failed quantity shall **be** forfeited subject to the provisions of this scheme and its terms & conditions.
- 11.2. If the successful bidder does not lift the booked quantity within the stipulated validity period, the proportionate security deposit (as converted from the EMD amount) for the un-lifted quantity would be forfeited.
- 11.3. In case of supplies by road, such forfeiture shall be made only if the balance unlifted quantity is equal or more than a truck load i.e., 17 as applicable.
- 11.4. Such forfeiture, however, shall not be applicable if SCCL has failed to offer full or part of the monthly scheduled quantity of the bidder within the validity period. However, no forfeiture would take place if the balance quantity is less than a truck load or rake load.
- 11.5. If the buyer cancels the order / rake after booking in any month, the corresponding security deposit as converted from EMD shall be forfeited for the order / rake cancelled.
- 11.6. In case of failure on the part of consumer / bidder to ensure validity of the documents as per clauses resulting in suspension of coal release / lifting due to expiry of validity of any of the documents, corresponding EMD / Security

Deposit equivalent to the quantity which could not be released / lifted, shall be forfeited in terms of clause

#### 12. Refund of Coal Value:

The balance coal value of the unlifted quantity after the expiry of the validity period for supply of coal and completion of required commercial formalities shall be refunded subject to forfeiture of EMD/Security Deposit/encashment of BG if required, in terms of forfeiture under this scheme and its terms and conditions.

# 13. General:

- 13.1. The coal procured under e-Auction is for the own use of the registered consumer / successful bidder within the country and not for Sale, Transfer or export.
- 13.2. All terms and conditions of Special e-Auction Scheme shall be subject to standard force majeure conditions as applicable.
- 13.3. Bidders must always ensure to keep their email address valid. In any case Buyers will not be absolved from fulfilling their obligations / complying with terms and conditions herein including payment terms due to non-receipt of emails from the Service Provider.
- 13.4. Bidders must be extremely careful to avoid any error in bidding (whether typographical or otherwise) and they are fully responsible to check and rectify their bid before submitting their Bid into the live e-Auction floor by clicking the "Bid" button. During the auction if the incremental bid price is more than 50% of the immediately preceding bid price, then the system will seek a re-confirmation from the Bidder before the bid is registered.
- 13.5. There is no provision for bidding in decimals. The Bidder shall be solely responsible for all consequences arising out of the bid submitted by him (including any wrongful bidding by him) and no complaint /representation will be entertained by the Service Provider/Coal Company in this regard.
- 13.6. The decision of the General Manager (Marketing) of SCCL in matters related to this e-Auction shall be final and binding on the bidders / Buyers.
- 13.7. Quantities mentioned in notice are only indicative and may undergo a change depending on factors like actual production of coal, bottlenecks in coal transportation etc.

- 13.8. Coal Company / Service Provider reserve the right to cancel the sale of coal under this e-Auction from any source / location in part or whole at any stage at its sole discretion without assigning any reason thereof and no party shall have any right whatsoever to raise any claim in that regard on that count.
- 13.9. SCCL reserves their rights to amend/modify the terms and conditions contained herein in full or in part at any point of time and no party shall have any right whatsoever to raise any claim in that regard on that count.
- 13.10. While the sale under e-auction is independent both parties shall be entitled to claim and recover from the other any excess or differential tax and statutory levies as provided in above clause at any time within a period of 3 years from the date on which the sum becomes recoverable. Both parties shall have a lien on any sums of money belonging to the other, which may come to their possession or control to the extent of the sum recoverable from the other.
- 13.11. Since the coal supplied under the Special Forward e-auction is for power generation only, the Successful Bidder/Power Producer shall have to furnish a certificate after utilizing the coal supplied under the auction confirming the quantity of Power Generated from such coal at the end of every quarter. The coal supplied to each TPP under such e auction shall be informed by SCCL to the concerned State DISCOMs/PTC/Power Exchange/Power Traders etc. The State DISCOMs/PTC/Power Exchange/Power Traders will issue a certificate to IPP with a copy to SCCL regarding power supplied to them by IPP during the quarter. The IPP would submit these certificates and coal consumed for this purpose to SCCL for reconciliation.
- 13.12. If the bidder involves in any fraudulent act after the auction, EMD will be forfeited and the bidder will be debarred from participating in e-auction for a period of one year. Any Act which is considered as a Fraudulent Act shall be the final decision of SCCL.

### 14. Dispute Resolution/Arbitration:

In the event of any dispute, Bidder / Buyer is necessarily required to represent in writing to the General Manager (Marketing) of SCCL, who would deal with the same in a period of one month from such representation. Thereafter, if required the matter be determined by the Executive Director-In-Charge Coal Movement of SCCL. Any interpretation of any clause of this

will be subject to clarification by SCCL, which will be deemed as final and conclusive.

All disputes arising out of this scheme or in relation thereto in any form whatsoever shall be dealt Specially by way of arbitration in terms of the Arbitration and Conciliation Act, 1996. The arbitration shall be conducted at HYDERABAD at a place to be notified by SCCL. The arbitrator shall be appointed by the Chairman and Managing Director, SCCL upon written request in this behalf. The award rendered by the Arbitrator shall be final and binding on the parties. The place of arbitration & nomination of arbitrator be varied appropriately in view of the Coal Company involved.

# 15. Acceptance of Terms & Conditions:

The consumer / bidder has to accept the Terms & Conditions before participating in e-Auction and also before issue of delivery order / rail programme.

# Schedule-I AFFIDAVIT

| •  |       | ex  |      | n-J<br>ute |      |      |      |      | Stamp<br>licial N |     |      | Paper<br>trate/ |      |       |    |     | •   | site<br>trat |     |     | valu<br>Nota | , |
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1. That, the Power Plant (Name of the Plant) is not being able to generate power to its capacity due to shortage in supply of coal and seeks to avail the dispensation for mitigating the short fall through special Forward e-auction being conducted by SCCL.

2. We hereby affirm the following particulars regarding the Power Plant for which

registration is being applied.

declare that:

|            | 20119 46611041   |  |  |  |  |
|------------|--|--|--|--|--|
| SI.<br>No. | Particulars  |  |  |  |  |
| 1.         | Name and Address of the Power Plants with Phone/email and Fax                        |  |  |  |  |
| 2'         | Number of Unit/Units   |  |  |  |  |
| •          | Capacity in MW   |  |  |  |  |
|            | Date of Commissioning of the Units/Expected date for Being Commissioned Units/Plants |  |  |  |  |

- 3. Coal purchased will be for own consumption and for power generation only and would not be resold, transferred or exported.
- 4. That the contents of the foregoing paragraphs of this Affidavit are true to the best of my knowledge and belief.
- 5. That we shall be obliged to furnish certificate of utilization of coal received through auction and quantum of power generated out of such coal supply in terms of the provision of clause 13.11 of the Special Forward e-auction scheme.
- 6. That we affirm that if at any stage it is found that the documents produced were false, misleading or any misinterpretation was made, our Plant shall be debarred from participating in e-auction of coal at SCCL for next 1 (one) year.

| 7. I have long term/ mediu | m term PPA agreement | with the DISCOMs agai | nst the |
|----------------------------|----------------------|-----------------------|---------|
| plan                       | t.                   |                       |         |

## Signature of the Purchaser/Representative of the Purchaser

| (Deponent) |
|------------|
|            |

Dated:

# **VERIFICATION**

|   | the abov   | ve named deponent do |  |  |  |  |
|---|------------|----------------------|--|--|--|--|
| hereby verify that the contents of the paragraph 1 to 7 are true to my knowledge nothing has been concealed and no part of it is false. |            |                      |  |  |  |  |
| Signed at   | (Place) on | _2015.               |  |  |  |  |
| (Deponent)  |            |                      |  |  |  |  |

Seal of 1st Class/Executive Magistrate

# **CONTROL LEDGER PROFORMA**

| 2. *LOCATION & ADDRESS                   | : |
|--|---|
|  |   |
| 3. PRODUCT                               | : |
| 4. *REGISTRATION NOS. a) VAT/TIN NOS.    | : |
| b) SSI/IEM Nos.                          | : |
| 5. *NAME OF THE MANAGING<br>DIRECTOR     | : |
| 6. *TELEPHONE NOS.                       | : |
| 7. *MOBILE TELEPHONE NOS.                | : |
| 8. FAX NOS.                              | : |
| 9. *E-MAIL ADDRESS                       | : |
| 10. *UNLOADING POINT                     | : |
| 11. *CONTACT PERSON                      | : |
| 12. *PAN NO.                             | : |
| 13. *SERVICE TAX REGN. NO.               | : |
| 14. * <u>DETAILS OF EXCISE REGN</u> .    | : |
| i) E.C.C.NO.<br>ii) EXCISE REGN. NO.     | : |
| iii) RANGE                               | • |
| iv) DIVISION                             | : |
| v) COMMISSIONERATE                       | : |
| 15. COAL ALLOTMENT FROM MINISTRY OF COAL | : |

1.\* NAME OF THE UNIT :

I solemnly declare that information furnished above is true to the best of my knowledge and I promise to abide by all the rules and regulations applicable for utilization of coal under Colliery Control Order, 1945 and Essential Commodities Act, 1955 and all terms and conditions stipulated in the Sale Note. I also promise to maintain all the records as per the advice of SCCL and will be produced as and when required by SCCL.

| We here authorize Sri(Designation) on our behalf for all types of transactions with SCCL, whose signatures are attested below : |  |  |  |  |  |
|---|--|--|--|--|--|
| Specimen signature:   |  |  |  |  |  |
| a)<br>b)<br>c)  |  |  |  |  |  |
| "Attested"  | MANAGING DIRECTOR/PROPRIETOR Full Name: Signature: |  |  |  |  |
|   | Company Seal:                                      |  |  |  |  |

<sup>\*</sup> marked fields are mandatory for e-auction customers.